

Docket No.: 3399-4006

Express Mail No.: EV245500832US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND FEE TRANSMITTAL §(1.53(b))

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s) names and addresses:

- (1) Edward NOWAK
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Impington, Cambridge CD4 9YJ
- (2) Barry John MUNCASTER
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- (3) Malcolm David BROWN
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For: IMPROVEMENTS IN AND RELATING TO DELIVERY CAPSULES

Enclosed Are:

- 13 page(s) of specification
1 page(s) of Abstract
2 page(s) of claims
1 sheet of ☒ Formal (Figs. 1-2) ☐ Informal drawings
6 page(s) of Declaration and Power of Attorney
- ☐ Unsigned
☐ Newly Executed
☒ Copy from prior application
☐ Deletion of inventors including Signed Statement under 37 C.F.R. §1.63(d)(2)

☐ **REQUEST AND CERTIFICATION UNDER 35 U.S.C. §122(b)(2)(B)(i) (form PTO/SB/35)**

As indicated on the attached Request and Certification, Applicant(s) certify that the invention disclosed in the attached application HAS NOT and WILL NOT be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. Applicant(s) therefore request(s) that the attached application NOT be published under 35 U.S.C. §122(b).

☒ **Incorporation by Reference:**

- ☒ The entire disclosure of the prior application, from which a copy of the combined Declaration and Power of Attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

☐ **Deletion of Inventors (37 C.F.R. §1.63(d) and §1.33(b))**

Signed statement attached deleting inventor(s) named in the prior application serial no. ____, filed ____.

☐ **Microfiche Computer Program (Appendix)**

- ☐ page(s) of Sequence Listing
☐ computer readable disk containing Sequence Listing
☐ Statement under 37 C.F.R. §1.821(f) that computer and paper copies of the Sequence Listing are the same

☒ **Assignment Papers (assignment cover sheet and assignment documents)**

- ☐ A check in the amount of \$40.00 for recording the Assignment
☐ Charge the Assignment Recordation Fee to Deposit Account No. 13-4500, Order No. ____.
☒ Assignment Papers filed in the parent application Serial No. 10/030,902.

☐ **Certification of chain of title pursuant to 37 C.F.R. §3.73(b)**

☒ **Priority is claimed under 35 U.S.C. §119 for:**
Application No(s). 99160335, filed July 9, 1999, in Great Britain (country).

- ☐ Certified Copy of Priority Document(s) [____]
☐ filed herewith
☐ filed in application Serial No. ____, filed ____.
☐ English translation document(s) [____]
☐ filed herewith
☐ filed in application Serial No. ____, filed ____.

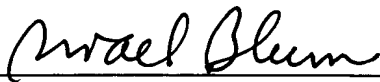
☐ **Priority is claimed under 35 U.S.C. §119(e) for:**
Provisional Application No. ____, filed ____.

- ☐ Information Disclosure Statement
- ☐ Copy of [] cited references
- ☐ PTO Form-1449
- ☐ References cited in parent application Serial No. _____, filed _____.
- ☐ Related Case Statement under 37 C.F.R. §1.98(a)(2)(iii)
- ☐ A copy of related pending U.S. Application(s) Serial No(s): _____, filed _____, respectively, is attached hereto.
- ☐ A copy of related pending U.S. Application(s) entitled, _____, filed _____ to inventor(s) _____, respectively, is attached hereto.
- ☐ A copy of each related application(s) was submitted in parent application serial no. _____, filed _____.
- ☒ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☒ This is a ☒ continuation ☐ divisional ☐ continuation-in-part of prior application serial no. 10/030,902, filed January 9, 2002, which is a 371 of PCT/GB00/02616 filed July 7, 2000 to which priority under 35 U.S.C. §120 is claimed.
- ☐ Cancel in this application original claims _____ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application).
- ☒ The status of the parent application is as follows:
- ☐ A Petition for Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until _____.
- ☒ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition for Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☒ Please abandon the parent application at a time, while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the parent application to this application
- ☒ Amend the specification by inserting before the first line the sentence:
This is ☒ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. 10/030,902, filed January 9, 2002, which is a 371 of PCT/GB00/02616 filed July 7, 2000 to which priority under 35 U.S.C. §120 is claimed.

I. CALCULATION OF APPLICATION FEE BASED ON PRELIMINARY AMENDMENT (attached hereto)				
	Number Filed	Number Extra	Rate	Basic Fee \$770.00/385.00
Total Claims	42- 20 =	22x	\$18.00/ \$9.00	\$ 198.00
Independent Claims	3- 3 =	0x	\$86.00/ \$43.00	\$ 0.00
<input checked="" type="checkbox"/> Multiple Dependent Claims		If marked, add fee of \$290.00 (\$145.00)		\$ 145.00
TOTAL:				\$ 728.00

- ☒ Small entity status is or has been claimed. Reduced fees under 37 C.F.R. §1.9 (f) paid herewith \$_____.
- ☐ A check in the amount of \$_____ in payment of the application filing fees is attached.
- ☒ Charge fee to Deposit Account No. 13-4500, Order No. 339-4006. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for filing this application pursuant to 37 CFR §1.16, **including all extension of time fees pursuant to 37 C.F.R. § 1.17 for maintaining copendency** with the parent application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3399-4006. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.



Israel Blum
Registration No. 26,710

Dated: November 3, 2003

Correspondence Address:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Nowak et al.

Group Art Unit: 1615

Serial No.: 10/030,902

Examiner: Humera N. Sheikh

Filed: January 9, 2002

For: IMPROVEMENTS IN AND RELATING TO DELIVERY CAPSULES

EXPRESS MAIL CERTIFICATE

Mail Stop
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Express Mail Label No.: **EV245500832US**

Date of Deposit: **November 3, 2003**

I hereby certify that the following attached paper(s) and/or fee

1. Petition and Fee for a Three - Month Extension of Time;
2. Utility Application and Fee Transmittal;
3. Preliminary Amendment;
4. A copy of the Specification: 13 pp., Claims: 2 pp., and Abstract 1 pg.;
5. A copy of one sheet of Formal Drawing – Figs. 1-2;
6. A copy of the executed Declaration filed in the parent application; and
7. A return receipt postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Albert Isles

(Typed or printed name of person mailing papers(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

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